Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself.
SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information

1.1 Document Type	Audit announcement
1.2 Document language	English
1.3 Second document language	Vietnamese
1.4 Unit of certification type	Single Site
1.4.1 Company name	NGOC THUAN HUNG CO. LTD.
1.4.2 UoC Name	NGOC THUAN HUNG FARM
1.5 Country where UoC is located	Vietnam
1.6 ASC Standard	Shrimp
1.7 Standard version	1.1
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	Intertek Testing Services Ltd.
Client contact person - from the UoC	
1.15 First name	HUYNH
1.16 Surname	TO QUYEN
1.17 Position in the UoC (Job title)	Quality Manager
1.18 Email address	quyenhuynh@khanhsung.vn
1.19 Phone number	(+84)2993.893.899
1.20 *Other means of contact e.g. Skype*	n/a

2. Audit information

2.1 ASC standard principles covered by the audit

	ASC standard principles	
2.1.1	Principle 1	Covered
2.1.2	Principle 2	Covered
2.1.3	Principle 3	Covered
2.1.4	Principle 4	Covered
2.1.5	Principle 5	Covered
2.1.6	Principle 6	Covered
2.1.7	Principle 7	Covered
2.1.8	Principle 8	Not Covered

2.2 Activities covered under the scope of the certification and under the scope of the audit.
Activities in the table apply to final product only.

	Activity	Under scope of certification	Under Scope of this audit	Notes
2.2.1	Stocking	Covered	Covered	
2.2.2	Nursing	Not Covered	Not Covered	
2.2.3	Growing Out	Covered	Covered	
2.2.4	Transferring	Not Covered	Not Covered	
2.2.5	Harvest	Covered	Covered	
2.2.6	Vaccination	Not Covered	Not Covered	
2.2.7	Fallowing	Covered	Covered	
2.2.8	Transportation	Covered	Covered	
2.2.9	Storage (if present at farm)	Not Covered	Not Covered	
2.2.10	Processing (if present at farm)	Not Covered	Not Covered	
2.2.11	Packing (if present at farm)	Not Covered	Not Covered	
2.2.12	Other (Please describe)	Not Covered	Not Covered	

2.3 Certification cycle	3
2.4 Audit type	Transfer audit
2.5 Audit number in certification cycle	1
2.6 Will harvesting be witnessed during audit?	Yes
2.6.1 If harvest is NOT witnessed, please justify:	na
2.7 Audit conducted (On-site/Remote):	Remote

Please indicate the hours assigned to the different audit activities in the table below, separated by the **hours** spend on the activities by the environmental- and social auditor(s):

2.8	2.9	2.10
Time assigned to audit activities	**Social Auditor(s)**	**Environmental auditor(s)**
Off-site activities	4	4
On-site activities	8	24
Total man days	1.5	3.5

Audit team and other involved persons

2.11	2.12	2.13	2.14	2.15
Surname	First name	Role	**Expertise needed for the audit** (required for technical experts only)	Person on-site or remote?
VI CUONG	LY	Audit team leader	Aquaculture expert	Remote
BAO	Alex Jian	Witness auditor		

3. Site information

3.2	3.3	3.4	3.6	3.13	3.14	3.15	3.16	3.17	3.18
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S) (00.000000)*	Longitude (E,W) (00.000000)*	Production system*	Number of production units	Start date of audit	End date of audit
NGOC THUAN HUNG FARM	Owned	Whiteleg shrimp (Litopenaeus vannamei)	Short-cycle species (≤6 months)	9.437268	106.109347	Ponds - Lined	40	2021年11月25日	2021年11月28日

4. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/ Organisation if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person/ stakeholder	Stakeholder type	If stakeholder type "other" was selected what type?	Contact date stakeholder	Did the stakeholder submit comments?	Stakeholder comments relate to what ASC standard indicator number?
Chairman of Lieu Tu Commune People's committee Chủ tịch UBND Xã Liêu Tú	do not disclose	do not disclose	Vietnam	n/a	Authorities	N/A			
Head of Giong Chat Hamlet Trưởng ấp Giồng Chát	do not disclose	do not disclose	Vietnam	n/a	Authorities	N/A			
Head of Farmer Association Lieu Tu Commune Hội trưởng hội nông dân xã Liêu Tú	do not disclose	do not disclose	Vietnam	n/a	Communities	N/A			
CP VIET NAM CORPORATION (Hatchery/ Feed) CÔNG TY CỔ PHẦN CHĂN NUÔI C.P VIỆT NAM (Giống/ thức ăn)	do not disclose	do not disclose	Vietnam	n/a	Government	N/A			
Group of worker farm Nhóm công nhân trại nuôi	do not disclose	do not disclose	Vietnam	n/a	Labour unions	N/A			
Some local community households Một số hộ dân lân cận	do not disclose	do not disclose	Vietnam	n/a	Communities	N/A			