

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. Shortcuts (L) are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information

1.1 Document type	Audit announcement
1.2 Document language	English
1.3 Second document language	Chinese
1.4 Unit of certification type	Single Site
1.4.1 Company name	Dalian Haiyangdao Fisheries Group Co., Ltd. 大连海洋岛水产集团股份有限公司
1.4.2 UoC Name	Dalian Haiyangdao Fengyang Aquaculture 大连海洋岛丰阳水产养殖有限公司
1.5 Country where UoC is located	China
1.6 ASC Standard	Baseline
1.7 Standard version	1.1
1.8 Certification process is subject to CAB version	2.2
1.9 Name of the Conformity assessment body (CAB)	Intertek Testing Services Ltd.
Client contact person - from the UoC	
1.15 First name	Jie
1.16 Surname	Ding
1.17 Position in the UoC (job title)	QA Manager
1.18 Email address	jdq@hydgrop.com
1.19 Phone number	0086 411 82959277
1.20 Other means of contact e.g. Skype	No

2. Audit information

2.1 ASC standard principles covered by the audit	ASC standard principles			
2.1.1 Principle 1	Not Covered			
2.1.2 Principle 2	Covered			
2.1.3 Principle 3	Covered			
2.1.4 Principle 4	Covered			
2.1.5 Principle 5	Covered			
2.1.6 Principle 6	Covered			
2.1.7 Principle 7	Covered			
2.1.8 Principle 8	Covered			
2.2 Activities covered under the scope of the certification and under the scope of the audit. Activities in the table apply to final product only.	Activity	Under scope of certification	Under scope of this audit	Notes
2.2.1 Stocking	Not Covered	Not Covered		no stocking but scallop spat purchasing
2.2.2 Rearing	Covered	Covered		
2.2.3 Spawning/Die	Covered	Covered		
2.2.4 Transferring	Covered	Covered		
2.2.5 Harvest	Covered	Covered		
2.2.6 Incubation	Not Covered	Not Covered		no such activity
2.2.7 Fallowing	Covered	Covered		
2.2.8 Transportation	Covered	Covered		
2.2.9 Storage (if present at farm)	Not Covered	Not Covered		no such activity
2.2.10 Processing (if present at farm)	Not Covered	Not Covered		no such activity
2.2.11 Packing (if present at farm)	Not Covered	Not Covered		no such activity
2.2.12 Other (please describe)				
2.3 Certification cycle	3			
2.4 Audit type	Transfer & surveillance audit			
2.5 Audit number in certification cycle	1			
2.6 Will harvesting be witnessed during audit?	Yes			
2.6.1 If harvest is NOT witnessed, please justify:				
2.7 Audit conducted (On-site/Remote)	On-site			

Please indicate the hours spent on the different audit activities in the table below, separated by the hours spent on the activities by the environmental- and social auditor(s).

2.8	2.8.1	2.8.2
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	4	8
On-site activities	18	20
Total man days	2	3.5

2.9 Audit team and other involved parties

2.9.1	2.9.2	2.9.3	2.9.4	2.9.5
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
Dekun	J	Audit team leader	Yes	On-site
Dekun	L	Social Auditor	Yes	On-site

3. Site information

3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	3.15	3.16	3.17	3.18	
Company	Ownership	History culture (years)	Site location	Area (A, B) (sq.0000000)*	Area (A, B) (sq.0000000)*	Production system*	Number of production units	Start date of audit	End date of audit								
Dalian Haiyangdao Fengyang Aquaculture 大连海洋岛丰阳水产养殖有限公司	Owned	Wang Xiaofan (Patriotism education)		38.262180	323.171450			1/12/2021	15/04/2021								

4. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/ Organisation if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person/ stakeholder	Stakeholder type	If stakeholder type "other" was selected what type?	Contact date	Did the stakeholder submit comments?	Stakeholder comments related to audit, ASC standard indication number?
Liaoning Aquaculture Institute 辽宁省水产研究所			China	729070640@qq.com					
Changshui County Government 长海县政府			China	ch_zwq@dg.gov.cn					
Farm base community committee 小队所在村委会			China	45224096@qq.com					
other neighbour aquaculture farmer-Liu Shengli 周边其他养殖户 (P)			China	wtas@hydgrop.com					
other neighbour aquaculture farmer-Wang Shengli 周边其他养殖户 (P)			China	540269036@qq.com					
Changshui County Environmental Bureau 长海县环保局			China	504078127@qq.com					