

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of 1 Site(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, Client/CAJ information

| | |
|---|--|
| 1.1 Document Title | Netix Announcement |
| 1.2 Document Language | Chinese |
| 1.3 Second document language | English |
| 1.4 Full name of certification body | Shanghai Shijie |
| 1.4.1 Company name | 上海国祥水产养殖有限公司 Shanghai Guoxiang Aquatic Products Co., Ltd. |
| 1.4.2 Doc Name | 国祥三品“优养黄颡鱼” Guoxiang Sanpin Shijie Farmed Demonstration Base |
| 1.5 Country where Doc is located | China |
| 1.6 ASC Standard | Shijie |
| 1.7 Standard version | 1.1 |
| 1.8 Certification process is subject to CAR version | 2.2 |
| 1.9 Name of the Conformity assessment body | Intertek Testing Services Ltd. |
| 1.10 Client contact person - From the Doc | 陈天 |
| 1.15 Surname | 陈 |
| 1.17 Position in the Doc (Job title) | 总经理 |
| 1.18 Email address | 24856691@ms.com |
| 1.19 Phone number | 1710168820 |
| 1.20 Other means of contact e.g. Skype | |

2. Audit information

| | |
|--|---|
| 2.1 ASC standard principles covered by the audit | ASC standard principles |
| 2.1.1 Principle 1 | Covered |
| 2.1.2 Principle 2 | Covered |
| 2.1.3 Principle 3 | Covered |
| 2.1.4 Principle 4 | Covered |
| 2.1.5 Principle 5 | Covered |
| 2.1.6 Principle 6 | Covered |
| 2.1.7 Principle 7 | Covered |
| 2.1.8 Principle 8 | Covered |
| 2.2 Activities covered under the scope of the certification and under the scope of the audit | Activity, Refer scope of certification, Tender scope of this audit, Notes |
| 2.2.1 Stocking | Not Covered, Not Covered, Not Covered |
| 2.2.2 Netting | Not Covered, Not Covered, Not Covered |
| 2.2.3 Spawning | Covered, Covered, Covered |
| 2.2.4 Transferring | Not Covered, Not Covered, Not Covered |
| 2.2.5 Harvest | Covered, Covered, Covered |
| 2.2.6 Vaccination | Not Covered, Not Covered, Not Covered |
| 2.2.7 Feeding | Not Covered, Not Covered, Not Covered |
| 2.2.8 Transportation | Not Covered, Not Covered, Not Covered |
| 2.2.9 Storage (if present at farm) | Not Covered, Not Covered, Not Covered |
| 2.2.10 Processing (if present at farm) | Not Covered, Not Covered, Not Covered |
| 2.2.11 Marketing (if present at farm) | Not Covered, Not Covered, Not Covered |
| 2.2.12 Other (Please describe) | Not Covered, Not Covered, Not Covered |
| 2.3 Certification cycle | 2 |
| 2.4 Audit time | November/December 2021 |
| 2.5 Audit number in certification cycle | 1 |
| 2.6 Will harvesting be witnessed during audit? | Yes |
| 2.6.1 If harvest is NOT witnessed, please | NA |
| 2.7 Audit conducted On-site/Remote? | On-site |

Please indicate the areas covered by the different activities in the table presented in the table based on the activities by the environmental and social auditors:

| | | |
|---------------------------------------|-------------------|--------------------------|
| 2.8 Time assigned to audit activities | Social Auditor(s) | Environmental auditor(s) |
| On-site activities | 16 | 16 |
| Remote activities | 0 | 0 |
| Total man days | 0 | 7.5 |

3. Audit team and other involved parties

| | | | | |
|------|------------|-------------------|---------------------------------|-----------------------------|
| 3.1 | 3.2 | 3.3 | 3.4 | 3.5 |
| Name | First name | Role | Repetitive needed for the audit | Person verified by customer |
| Shi | Tian | Audit team leader | On-site | On-site |
| Shi | Yanbo | Trainee | On-site | On-site |

4. Site information

| | | | | | | | | | |
|---|-----------|-------------------------------------|---------------------------------|-----------------------------|------------------------------|-------------------|---------------------------|---------------------|-------------------|
| 4.1 | 4.2 | 4.3 | 4.4 | 4.5 | 4.6 | 4.7 | 4.8 | 4.9 | 4.10 |
| Site name | Ownership | Primary culture species | Cycle duration | Latitude (N, S, or Unknown) | Sea level (M, B, or Unknown) | Production system | Number of ponds/cages | Start date of audit | End date of audit |
| 浙江国祥水产养殖有限公司Zhejiang Guoxiang Aquatic Products Co., Ltd. 国祥三品“优养黄颡鱼” Guoxiang Sanpin Shijie Farmed Demonstration Base | Owned | White shrimp (Litopenaeus vannamei) | Short-cycle species (<6 months) | 21.117250 | 110.881920 | Ponds | 4500m ² /bonds | 09/08/2021 | 14/08/2021 |

5. Stakeholder engagement

| | | | | | | | | | |
|---|-----------------------------|--------------------------|------------------------------------|--|---------------------|---|--------------|--------------------------------------|--|
| 5.1 | 5.2 | 5.3 | 5.4 | 5.5 | 5.6 | 5.7 | 5.8 | 5.9 | 5.10 |
| Name of Company/ Organization if applicable | Contact person - First name | Contact person - Surname | Country where stakeholder is based | Email address of principal contact stakeholder | Stakeholder type | If stakeholder type "Other" has selected what type? | Contact date | Did the stakeholder submit comments? | Stakeholder comments relate to what ASC Standard Indicator (only)? |
| 广东海洋大学水产学院 | 陈全 | 陈 | China | cah13@163.com | Customers | NA | 20/06/2021 | No | NA |
| 国祥水产村 | 陈彤 | 陈 | China | 118292612@ms.com | Neighbour | NA | 20/06/2021 | No | NA |
| 国祥水产村 | 陈天 | 陈 | China | 26972110@ms.com | Neighbour | NA | 20/06/2021 | No | NA |
| 国祥水产村 | 陈天 | 陈 | China | 81752618@ms.com | Committee | NA | 20/06/2021 | No | NA |
| 国祥水产村 | 陈天 | 陈 | China | allianceme@163.com | Contractors | NA | 20/06/2021 | No | NA |
| WWF China | 吴 | 吴 | China | xyw@wfwchina.org | NGO - Environmental | NA | 20/06/2021 | No | NA |