

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. Shortfalls (if any) provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information

1.1 Document Type	Audit announcement
1.2 Document language	English
1.3 Second document language	Chinese
1.4 Unit of certification type	Single Site
1.4.1 Company name	福建中新永年实业有限公司 Fujian China Singapore Exempt co., LTD.
1.4.2 UoC Name	福州永年养殖有限公司养殖场 Fuzhou Yongnianfang Aquaculture Farm
1.5 Country where UoC is located	China
1.6 ASC Standard	Abalone
1.7 Standard version	1.1
1.8 Certification process is subject to CAB version	2.2
1.9 Name of the Conformity assessment body (CAB)	Intertek Testing Services Ltd.

Client contact person - from the UoC	
1.15 First name	Linglu
1.16 Surname	Yu
1.17 Position in the UoC (job title)	QA Manager
1.18 Email address	wu@ylnf.com.cn
1.19 Phone number	13652423355
1.20 Other means of contact e.g. Skype	No

2. Audit information

2.1 ASC standard principles covered by the audit	ASC standard principles		
2.1.1 Principle 1	Covered		
2.1.2 Principle 2	Covered		
2.1.3 Principle 3	Covered		
2.1.4 Principle 4	Covered		
2.1.5 Principle 5	Not Covered		
2.1.6 Principle 6	Not Covered		
2.1.7 Principle 7	Not Covered		
2.1.8 Principle 8	Not Covered		
2.2 Activities covered under the scope of the certification and under the scope of the audit. Activities in the table apply to final product only.	Activity	Under scope of certification	Under Scope of this audit
2.2.1 Breeding	Not Covered		
2.2.2 Rearing	Not Covered		
2.2.3 Growing/finishing	Covered		
2.2.4 Feed/feeding	Not Covered		
2.2.5 Harvest	Covered		
2.2.6 Incubation	Not Covered		
2.2.7 Fallowing	Not Covered		
2.2.8 Transportation	Not Covered		
2.2.9 Storage (if present at farm)	Not Covered		
2.2.10 Processing (if present at farm)	Not Covered		
2.2.11 Trading (if present at farm)	Not Covered		
2.2.12 Other (Please describe)	Not Covered		
2.3 Certification cycle	3		
2.4 Audit type	Transfer & surveillance audit		
2.5 Audit number in certification cycle	1		
2.6 Will be witnessed by witnessed during audit?	Yes		
2.6.1 If harvest is NOT witnessed, please justify:			
2.7 Audit conducted (On-site/Remote)	Remote		

Please indicate the hours engaged by the different audit activities in the table below. Separate hours spent on the activities by the environmental- and social auditors.

2.8	2.8	2.9	2.10	
Off-site activities	Social Auditor(s)	Environmental auditor(s)		
On-site activities			2.5	
Total man days	0	0.8125		
Audits terms and other personnel resources				
2.11	2.12	2.13	2.14	2.15
Termine	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on site or remote?
BAO	Jan	Audit team leader	Yes	Remote

3. Site information

3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8		
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S) (DD.DDDDDDD°)	Longitude (E, W) (DD.DDDDDDD°)	Production system*	Number of production units	Start date of audit	End date of audit
福州永年养殖有限公司养殖场 Fuzhou Yongnianfang Aquaculture Farm	Owned	Japanese abalone (Patella discus)	Long cycle species (16 months)	26.222882	119.544554	Suspended lines	1	20/02/2021	23/02/2021

4. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company / Organisation (if applicable)	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person/ stakeholder	Stakeholder type	If stakeholder type "Other" has selected what type?	Contact date stakeholder	Did the stakeholder issues comment?	Stakeholder comments relate to what ASC standard indicator number?
China Abalone Association	Chen	Yu	China	wu@ylnf.com.cn	Authorities				
Liangjiang Fishery Bureau	Weigang	Wang	China	1585096996@139.com	Government				
Neighbour Farmer- Keli Farmer-1	Liu	Bian	China	23480813@163.com	Neighbour				
Neighbour Farmer- Keli Farmer-2	Heping	Li	China	47736222@163.com	Competitor				
Representative of Houshai Village	Yanfeng	Wang	China	1353501567@139.com	Community				
WWF China	Xin	Yu	China	wy@wwfchina.org	NGO - Environmental area				