

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information

1.1 Document type	Audit announcement
1.2 Document language	English
1.3 Second document language	Chinese
1.4 Unit of certification type	Single Site
1.4.1 Company name	汇泰海水产有限公司 Haitai Bohai Seafoods Co., Ltd.
1.4.2 UoC Name	Jinshuiyuan Farm金水源养殖场
1.5 Country where UoC is located	China
1.6 ASC Standard	Shrimp
1.7 Standard version	1.1
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	Intertek Testing Services Ltd.
Client contact person - from the UoC	
1.15 First name	张Ch1
1.16 Surname	张 Zhang
1.17 Position in the UoC (Job title)	总经理
1.18 Email address	hjt@163.com
1.19 Phone number	86 543-5071902
1.20 Other means of contact e.g. Skype	

2. Audit information

2.1 ASC standard principles covered by the audit	ASC standard principles			
2.1.1 Principle 1	Covered			
2.1.2 Principle 2	Covered			
2.1.3 Principle 3	Covered			
2.1.4 Principle 4	Covered			
2.1.5 Principle 5	Covered			
2.1.6 Principle 6	Covered			
2.1.7 Principle 7	Covered			
2.1.8 Principle 8	Covered			
2.2 Activities covered under the scope of the certification and under the scope of the audit.	Activity	Under scope of certification	Under Scope of this audit	Notes
2.2.1 Stocking	Covered	Covered		
2.2.2 Rearing	Not Covered	Not Covered		
2.2.3 Growing out	Covered	Covered		
2.2.4 Transferring	Not Covered	Not Covered		
2.2.5 Harvest	Covered	Covered		
2.2.6 Vaccination	Not Covered	Not Covered		
2.2.7 Fallowing	Not Covered	Not Covered		
2.2.8 Transportation	Not Covered	Not Covered		
2.2.9 Storage (if present at farm)	Not Covered	Not Covered		
2.2.10 Processing (if present at farm)	Not Covered	Not Covered		
2.2.11 Packing (if present at farm)	Not Covered	Not Covered		
2.2.12 Other (Please describe)				
2.3 Certification cycle	2			
2.4 Audit type	Recertification audit			
2.5 Audit number in certification cycle	1			
2.6 Will harvesting be witnessed during audit?	Yes			
2.6.1 If harvest is NOT witnessed, please justify:	N/A			
2.7 Audit conducted (On-site/Remote):	Remote			

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spent on the activities by the environmental- and social auditor(s):

2.8	2.9	2.10
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	4	12
On-site activities	12	40
Total man days	2	6.5

Audit team and other involved persons				
2.11	2.12	2.13	2.14	2.15
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on site or remote?
Li	Allen Dekun	Audit team leader		Remote
Li	Allen Dekun	Social Auditor		Remote
Li	Allen Dekun	Technical Auditor		Remote
Wang	Bangping	Technical Auditor		Remote

3. Site information

3.2	3.3	3.4	3.5	3.6	3.13	3.14	3.15	3.16	3.17	3.18
Site name	Ownership	Primary culture species	Scale duration	Latitude (N, S) (00.00000)*	Longitude (E, W) (00.00000)*	Production system*	Number of production units	Start date of audit	End date of audit	
Jinshuiyuan Farm金水源养殖场	Owned	Whitling shrimp (Litopenaeus vannamei)	Short-cycle species (<6 months)	38.078000	118.127000	Ponds		2022年6月23日	2022年6月25日	

4. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/Organisation if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person/stakeholder	Stakeholder type	If stakeholder type other* was selected what type?	Contact date stakeholder	Did the stakeholder submit comments?	Stakeholder comments relate to what ASC standard indicator number?
官方主管部门代表-沾化渔业局	金峰	李	China	1jzhanhua@163.com	Authorities				
社区/村委会代表	玉林	王	China	15806632306@163.com	Communities				
其他养殖场/同行代表	国志	李	China	18266496179@163.com	Competitors				
员工代表	国彬	高	China	18265767100@163.com	Labour unions				
行业协会	李浩 Lihao	张 Zhang	China	zhanglihaocappa@163.com	NGO - Environmental				
					Other				